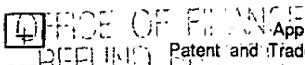




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PTO/SB/21 (6-98)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	2002 JAN	Application Number	09/989,960
	US PATENT & TRADEMARK OFFICE	Filing Date	November 21, 2001
		First Named Inventor	Rickie C. Lake
		Group Art Unit	1733
		Examiner Name	J. Haran
Total Number of Pages in This Submission	6	Attorney Docket Number	MI40-338

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard, Copy of November 2001 Deposit Account, Copy of Fee Transmittal and check filed November 21, 2001.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bernard Berman, Reg. No. 37,279; Wells, St. John et al.
Signature	
Date	Dec 24, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 12/24/2001			
Typed or printed name	Dawn Pecoraro		
Signature		Date	12.24.01

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Practitioner's Docket No. MI40-338

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

#7/Reg for
Refund
02-21-02

In re application of: Rickie C. Lake

Application No.: 09/989,960

Group No.: 1733

Filed: November 21, 2001

Examiner: J. Haran

ATTENTION: Refund Section, Accounting Division, Office of Finance
Commissioner for Patents and Trademarks
Washington, D.C. 20231

REQUEST FOR REFUND
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account 23-0925, shown on the statement dated November, 2001, for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

II. FEES CHARGED FOR WHICH REFUND REQUESTED

AMOUNT OF REFUND
REQUESTED

Excess claims

\$456.00

TOTAL REFUND REQUESTED

\$456.00

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

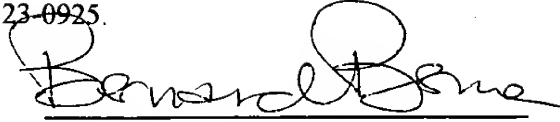
Fees were paid for this application on November 21, 2001, in the amount of \$ 884.00. This fee represented the filing fee of \$740.00 and eight dependent claims in excess twenty in the amount of \$144.00. Total claims pending pursuant to the Preliminary Amendment, filed November 21, 2001, is 28 total claims with 3 independent claims.

IV. MANNER OF REFUND

Please make refund by crediting Account No. 23-0925.

Date:

Dec 24, 2001



Bernard Berman
Registration No. 37,279
Wells, St. John et al.
601 W 1st Avenue, Suite 1300
Spokane, WA 99201
509-624-4276
Customer No. 021567



UNITED STATES
PATENT AND
TRADEMARK OFFICE



Return To

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Page**Deposit Account Statement**

Requested Statement Month: November 2001
 Deposit Account Number: 230925
 Name: WELLS ST JOHN & ROBERTS GREGORY & MATKIN PS
 Attention: ATTN BARBARA SCHURRA
 Address: 601 WEST FIRST AVENUE SUITE 1300
 City: SPOKANE
 State: WA
 Zip: 99201-3817

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/01	2	09783272	M130-057	122	\$130.00	\$6,065.39
11/07	3	09837645	MI22-1685	102	\$84.00	\$5,981.39
11/08	2	09378433	MI22-640	561	\$9.00	\$5,972.39
11/15	19	09395713		704	-\$65.00	\$6,037.39
11/16	44	09987540	AV1-036	103	\$18.00	\$6,019.39
11/20	9	09974001	MI22-1841	102	\$84.00	\$5,935.39
11/20	49	SUBSCRIPTION		561	\$3.00	\$5,932.39
11/21	9	5297667		184	\$975.00	\$4,957.39
11/21	15	09988485		704	-\$2.00	\$4,959.39
11/26	3	09488972	MI40-272	102	\$168.00	\$4,791.39
11/26	4	09488972	MI40-272	103	\$18.00	\$4,773.39
11/26	47	09989960	MI40-338	102	\$24.00	\$4,749.39
11/26	49	09989960	MI40-338	103	\$432.00	\$4,317.39
11/29	1	09495811		203	\$45.00	\$4,272.39
11/29	2	09495811		202	\$126.00	\$4,146.39
11/29	3	09823133	MI22-1638	148	\$110.00	\$4,036.39
11/30	17	09872343		103	\$18.00	\$4,018.39
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$6,195.39	\$2,244.00	\$67.00	\$4,018.39	

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000 <small>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</small>		Complete if Known	
		Application Number	09/480,076
		Filing Date	January 20, 2000
		First Named Inventor	Rickie C. Lake
		Examiner Name	J. Haran
		Group / Art Unit	1733
TOTAL AMOUNT OF PAYMENT (\$) 884.00		Attorney Docket No.	MI40-338

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 23-0925 Deposit Account Name: Wells, St. John et al. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES																																																																																																																									
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>0.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td>0.00</td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td>0.00</td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td>0.00</td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td>0.00</td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td>0.00</td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td>0.00</td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td>0.00</td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td>0.00</td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td>0.00</td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td>0.00</td></tr><tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td>0.00</td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td>0.00</td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td>0.00</td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td>0.00</td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td>0.00</td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td>0.00</td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td>0.00</td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td>0.00</td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td>0.00</td></tr><tr><td colspan="2">* Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3)</td><td>(\$) 0.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Bernard Berman	Registration No. (Attorney/Agent)	37,279
Signature	<i>Bernard Berman</i>	Telephone	509-624-4276
		Date	Nov 21, 2001

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FAX (509) 838-3424 PHONE (509) 624-4276

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Check Date	Check #	Gross Amt	Disc Amt	Net Amt
11/21/01	133154	884.00	0.00	884.00